

2171 # 2 PATENT/0/10/2 STL920000050US1 0055.0049

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: S.V. Kauffman Serial No.: 10/05

Serial No.: 10/053,115 Filed: January 17,

For:

January 17, 2002 METHOD, SYSTEM, AND PROGRAM FOR DEFINING

ASSET CLASSES IN A DIGITAL

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Examiner: Not yet Assigned

Art Unit: 2171

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Assistant Commissioner of Patents Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application. Applicants submit that no fee is needed nor should be charged with the submittal of this Information Disclosure Statement (IDS) because this IDS is being submitted under 1.97(b) in that the IDS is being filed before the mailing date of a first Office Action on the merits or within three months of the filing date of a national application other than a continued prosecution application under 1.53(d). Applicants submit that a first Office Action has not been received in the above referenced case.

Nonetheless; should any fee b	be deemed necessary, please charge any insufficiency or
credit any overplayment to Deposit Ac	count No. 50-0585. A duplicate of this letter is enclosed
for fee processing.	
Respectfully submitted,	
David W. Victor	Dated: September 27, 2002
Reg. No. 39,867	
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I hereby certify that this correspondence is being de	eposited with the United States Postal Service as first class mail in an envelope
addressed to Assistant Commissioner of Patents, Washington, D.C. 20231 on September 27, 2002.	
David W. Victor (Date)	